Coherence temperature in the diluted periodic Anderson model

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The Kondo and periodic Anderson model (PAM) are known to provide a microscopic picture of many of the fundamental properties of heavy-fermion materials and, more generally, a variety of strong correlation phenomena in $4f$ and $5f$ materials. In this paper, we apply the determinant quantum Monte Carlo method to include disorder in the PAM, specifically the removal of a fraction $x$ of the localized orbitals. We determine the evolution of the coherence temperature $T^*$, where the local moments and conduction electrons become entwined in a heavy-fermion fluid, with $x$ and with the hybridization $V$ between localized and conduction orbitals. We recover several of the principal observed trends in $T^*$ of doped heavy fermions, and we also show that, within this theoretical framework, the calculated nuclear magnetic resonance relaxation rate tracks the experimentally measured behavior in pure and doped CeCoIn$_5$. Our results contribute to important issues in the interpretation of local probes of disordered, strongly correlated systems.

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I. INTRODUCTION

Materials poised at the cusp of magnetic to nonmagnetic phase boundaries exhibit a myriad of complex properties. As systems ranging from cuprate superconductors [1,2] to heavy fermions [3–5] and iron pnictides [6,7] are moved with pressure, chemical doping, or temperature away from a regime where magnetic order is dominant, an incredible variety of alternate patterns of spin, charge, and pairing emerges. A description of the resulting competition has been an ongoing challenge to the condensed-matter community [8].

To address these phenomena, nuclear magnetic resonance (NMR) has been extensively used to explore local microscopic properties of correlated materials, providing great insight into their nature [9–11]. In particular, NMR experiments have determined the energy scale at which a heavy-fermion state emerges, i.e., when $4f$ electrons become delocalized. This scale has been associated [12–18] with a coherence temperature, $T^*$, whose signature appears, e.g., as an anomaly in Knight shift (KS) measurements: while for normal metals the KS tracks the magnetic susceptibility, for most heavy-fermion materials this tracking breaks down below a certain temperature, which is identified with $T^*$ [12,13]. The presence of several distinct contributions to the magnetic susceptibility in these materials, in particular the one from a singlet $d$-$f$ channel that delocalizes $4f$-electrons, leads to this anomaly, signaling the emergence of a heavy-fermion state. Remarkably, when the KS anomaly is singled out by removing the high-temperature contribution to the susceptibility, many heavy-fermion materials exhibit a universal behavior for temperatures below $T^*$ [12,13]. The coherence temperature is evident in multiple experimental probes, including transport, thermodynamic, and tunneling measurements, but its microscopic origin, and its relation to the Kondo screening temperature, remain open questions [17–19].

Additional complexity is introduced by added chemical impurities [19–23], so that treating the effects of disorder is essential to understand many of the properties of correlated electron materials. Randomness is central to the emergent physics since it acts to limit the growth of charge-ordered regions [24]. Likewise, dopant disorder can stabilize localized antiferromagnetic (AF) regions, explaining the persistence of AF even deep in the $d$-wave phase [25]. A similar phenomenon occurs in heavy-fermion materials where AF long-range order is induced via Cd doping of CeCoIn$_5$ [22,26]. Of particular interest is the crossover between Kondo screening in the single-impurity limit and collective screening with intersite interactions among multiple sites in a lattice.

A powerful approach to investigate these crossover regimes is to systematically replace the $f$-sites with nonmagnetic atoms. This leads to inhomogeneities in the magnetic response, with some spatial regions favoring strong spin correlations, while in others a paramagnetic behavior is preferred. Thus, instead of having a single external parameter that globally tunes a system through a magnetic/nonmagnetic boundary, one should also investigate how the physical quantities behave in the presence of internal and highly inhomogeneous degrees of freedom. One expects NMR quantities like $T^*$ and the spin-lattice relaxation rate to have a strong dependence with impurity doping (e.g., La substitution on Ce-based compounds) and even acquire a distribution of values depending on the local environment of the nuclei [27–33]. Indeed, NMR and scanning tunneling microscopy (STM) measurements on the cuprates have examined the links between charge order, superconductivity, and pseudogap physics in the cuprates.

From a theoretical point of view, the nature of these emergent phenomena may be described by simplified models that take into account their most fundamental mechanisms, such as the periodic Anderson model (PAM) [34–38] and the closely related Kondo lattice model [39–43], which consider
weakly correlated “conduction” electrons hybridized with strongly correlated “localized” ones. Tuning the strength of the hybridization in these models leads to a quantum phase transition (QPT), in which the ground state evolves from an antiferromagnetic (AF) ordering to a spin liquid state. Recent numerical work on the homogeneous PAM has captured the KS anomaly and provided $T^*$ by quantitatively characterizing the different orbital contributions to the global susceptibility [44,45]. In the context of impurity doping [46], the PAM successfully describes the enhancement of AF correlations around doped impurities in CeCo(In$_1$$_x$Cd$_y$)$_5$ [47–49], and it also provides evidence of a magnetic suppression when nonlocal hybridization terms are included [50,51], as in the case of CeCo(In$_1$$_x$Sn$_y$)$_5$ [23,52].

Here we study the combination of randomness and strong interactions with an exact numerical approach, which allows for “real-space imaging” of spin correlations. We investigate the behavior of the coherence temperature and NMR quantum correlations with an exact numerical approach, which allows us to quantify the influence of disorder on the ground state of these systems. The DQMC method [54–59] employed here to solve Eq. (1) is an unbiased technique commonly used to investigate Hubbard-like Hamiltonians: it maps a $d$-dimensional quantum system in a classical $(d + 1)$-dimensional one, via the inclusion of an imaginary-time coordinate. Within this approach, one separates the one-body ($\hat{K}$) and two-body ($\hat{P}$) pieces in the partition function by using the Trotter-Suzuki decomposition, i.e., by defining $\beta = L / \Delta \tau$, with $L$ being the number of imaginary-time slices, and $\Delta \tau$ the discretization grid. Then

$$Z = \text{Tr} e^{-\beta \hat{H}} = \text{Tr} \left[ (e^{-\Delta \tau (\hat{K} + \hat{P})} \right]$$

with an error proportional to $(\Delta \tau)^3$. This is exact in the limit $\Delta \tau \rightarrow 0$. The resulting partition function is rewritten in quadratic (single-body) form through a discrete Hubbard-Stratonovich transformation (HST) on the two-body terms, $e^{-\Delta \tau \hat{P}}$. This HST introduces discrete auxiliary fields with components on each of the space and imaginary-time lattice coordinates, which are sampled by Monte Carlo techniques.

In this work, we choose $\Delta \tau = 0.1$, so that the error from the Trotter-Suzuki decomposition is less than, or comparable to, that from the Monte Carlo sampling. DQMC is able to measure a general set of single- and two-particle response functions, such as susceptibilities, which can be directly compared with experimental results.

Although numerically exact, DQMC is constrained by the infamous minus-sign problem [57,59], which restricts our analyses to the half-filling case, i.e., when both $c$- and $f$-orbitals have $\langle n_{i\alpha}^{c,f} \rangle = 1/2$. Determinant quantum Monte Carlo is especially well-matched to analyze the problem of disorder and the local structures that form around an impurity, since it is formulated in real space. Furthermore, many types of randomness, such as local variations in hybridization, on-site repulsion, and site removal, do not affect particle-hole symmetry. Therefore, there is no sign problem at half-filling, regardless of the presence of disorder (dilution) on the lattice. This allows us to investigate the behavior of correlations in all temperature scales.

To connect with NMR measurements, the central quantities of interest are magnetic susceptibilities, from which the Knight shift and spin-lattice relaxation rate are obtained; see below. Due to the presence of two orbitals, the total spin on a given site $i$ is $S_i = S_i^c + \epsilon_i S_i^f$, with $\epsilon_i \equiv 1$ at sites containing $f$-orbitals, and 0 otherwise. Thus, the total magnetic susceptibility is given by

$$\chi = \chi_{cc} + 2\chi_{cf} + \chi_{ff},$$

where

$$\chi_{\alpha\alpha'} = \frac{1}{N_s} \sum_{ij} Q_{ij}^{\alpha\alpha'} \int_0^\beta d\tau (S_i^\alpha(\tau) \cdot S_j^{\alpha'}(0)).$$

Here $S_i^\alpha(\tau) = e^{r\hat{H}} S_i^\alpha(0) e^{-r\hat{H}}$, with $\alpha, \alpha' = c$ or $f$, and $Q_{ij}^{\alpha\alpha'} = [(\delta_{\alpha,c} + \epsilon_i \delta_{\alpha,f})\delta_{\alpha',c} + (\epsilon_j \delta_{\alpha,f} \delta_{\alpha',c} + \epsilon_j \delta_{\alpha,c} \delta_{\alpha',f})]$; the number of lattice sites is $N_s = L \times L$. Similarly, the Knight

II. MODEL AND METHOD

The Hamiltonian for the dPAM reads [53]

$$H = -t \sum_{\langle i,j \rangle,\sigma} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.}) - \sum_{i,\sigma} V_i (c_{i\sigma}^\dagger f_{i\sigma} + \text{H.c.}) - \mu \sum_{i,\sigma,\alpha} n_{i\alpha}^c + \sum_{i} U_{i}^f \left( n_{i1}^f - \frac{1}{2} \right) \left( n_{i2}^f - \frac{1}{2} \right),$$

where the sums run over a two-dimensional square lattice, with $\langle i,j \rangle$ denoting nearest-neighbor sites, and $\alpha = c$ or $f$; the notation for the operators is standard. The first term corresponds to the hopping of conduction electrons (the hopping integral, $t$, sets the energy scale), while the last term describes the Coulomb repulsion on localized $f$-orbitals. The hybridization between these two orbitals is modeled by a site-dependent hopping $V_i$.

Here we consider full orbital dilution, in which we randomly set $U_i = V_i = 0$ on a fraction $x$ of the sites. Physically, this is equivalent to completely removing $f$-orbitals, similarly to the replacement of a magnetic $4f^1$ Ce atom by a $4f^0$ La one in CeCoIn$_5$, which locally suppresses both the moment on the $f$-orbital and the possibility of $c$-$f$ mixing (due to the distance of the La level from the Fermi energy).

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FIG. 1. (a) Knight shift as a function of total susceptibility $\chi$. (b) $\chi$ and the renormalized Knight shift $\tilde{K}$ as a function of temperature, for $V/t = 1.2$ and $x = 0.20$. The vertical dashed line defines $T^*/t = 1.05$ (see text). (c) Experimental NMR results for Ce$_{1-x}$La$_x$CoIn$_5$, reproduced from Ref. [31]. (d) Data collapse of DQMC results for the KS anomaly, $K_{\text{HF}}$, for $T \lesssim T^*$. Here, and in all subsequent figures, when not shown, error bars are smaller than the symbol size.

The behavior of the KS in Fig. 1, in particular its scaling behavior [Fig. 1(d)], provides robust evidence that DQMC simulations qualitatively reproduce trends observed experimentally, even in the presence of disorder. We now turn our attention to the dependence of $T^*$ with external parameters, such as the hybridization, $V$, which is tuned in experiments...
by applying pressure. Figure 2(a) displays the behavior of $T^*$ as a function of $V$ for different impurity concentrations, $x$. Regardless of the level of disorder, the coherence temperature increases monotonically with $V$. This reproduces fundamental features of NMR measurements (e.g., for CeRhIn$_5$ [66]): larger hybridization increases the probability of a hopping from $f$-orbitals to conduction ones, which in turn increases the energy scale ($\sim V^2/U^1$).

The effect of dilution on $T^*$ is already apparent in Fig. 2(a): although the clean and disordered cases share the same qualitative trend, the value of $T^*$ decreases with $x$. This reduction in the coherence temperature with $f$-orbital dilution reflects a crossover between dense and diluted Kondo regimes; that is, the material goes from a heavy-fermion state at small $x$ to a single-impurity Kondo regime at $x \approx 1 - \epsilon$, with $\epsilon \ll 1$. To further emphasize this crossover, Fig. 2(b) displays $T^*$ as a function of dilution for different values of hybridization. Notice that $T^*$ has a (roughly) linear dependence with $x$, with $T^* \neq 0$ even at strong dilution. Our DQMC predictions are in good agreement with recent NMR results for Ce$_{1-x}$La$_x$CoIn$_5$, as shown in Fig. 2(b); see Ref. [31]. Data from early attempts to measure $T^*$ in Ce$_{1-x}$La$_x$CoIn$_5$ (see, e.g., Ref. [27]) are also included in Fig. 2(b): they also display a monotonic decrease of the coherence temperature with La doping.

IV. RELAXATION TIME

The NMR relaxation rate is defined as (see, e.g., Ref. [10])

$$T_1^{-1} = \gamma^2 g_N T \lim_{\omega \to 0} \sum_i A^2(\mathbf{q}) \frac{\chi'(\mathbf{q},\omega)}{\hbar \omega},$$

where $A^2(\mathbf{q})$ is the square of the Fourier transform of the hyperfine interaction, and $\gamma$ is the gyromagnetic ratio. The latter is related to the nuclear magnetic moment by $\gamma = g \mu_N / (I(T + T))$, with $\mu_N$ being the nuclear magneton, $g$ the nuclear $g$-factor, and $I$ the nuclear spin. $T_1^{-1}$ quantifies a characteristic time in which a component of the nuclear spin (of a given site) reaches equilibrium after an external perturbation (magnetic-field pulse). It is a dynamical (real frequency) quantity whose numerical evaluation usually requires an analytic continuation of the imaginary-time DQMC data. Instead, we use an approximation to this procedure [67],

$$\frac{1}{T_1} \approx \frac{1}{\pi^2 T} \sum_i S_i(\tau = \beta/2) S_i(0).$$

As a benchmark for our results for the diluted case, we first examine the behavior of $T_1^{-1}$ for the clean ($x = 0$) system. Previous DQMC studies [36,37] of the PAM have provided evidence of a QPT from an antiferromagnetically (AFM) ordered ground state to a spin liquid phase at $V_c \approx 1.0$. Then, one expects that the behavior of $T_1^{-1}$ for decreasing temperatures should reflect the properties of these different ground states [63]. Figure 3 displays the behavior of the relaxation rate as a function of temperature for different values of $V$. Here we show the results from extrapolating data for lattice sizes $L = 8$, 10, and 12 to $L \to \infty$. Within the AFM phase, $V/t = 0.8$ or 0.9, $T_1^{-1}$ approaches a finite nonzero value as $T \to 0$, consistent with the absence of a spin gap, i.e., the presence of spin-wave excitations. On the other hand, for larger $V$, $T_1^{-1}$ decreases monotonically when $T$ is lowered, reflecting a spin-gapped (spin liquid) ground state. Notice that the change in behavior of $T_1^{-1}$ occurs around $V/t \sim 1.0$, in line with the $V_c$ reported in Ref. [37].
Turning to the disordered case, the lack of translational symmetry requires the analysis of local contributions to $T_1^{-1}$ by considering two species of sites: (i) Ce sites, those with an active $f$-orbital, and (ii) La sites, those which had their $f$-orbitals removed. Accordingly, we define $T_1^{-1}$ for Ce and La as the average over their individual contributions, i.e., we average over the available sites of each type, and subsequently we average over disorder configurations. Figure 4 displays the behavior of the local $T_1^{-1}$ for fixed $V/t = 1.2$ and for different concentrations. For reasons that will become apparent below, we separate the discussion of Fig. 4 into two regimes: intermediate temperatures, $T \sim T^*$, and low temperatures, $T \ll T^*$, when properties reflect the dominant correlations in the ground state. In the intermediate-temperature range, we note that data for the spin relaxation rate on Ce sites for the clean case and for both dilution cases ($x = 0.20$ and 0.80) are almost indistinguishable; for the La sites, data for these same concentrations are also nearly identical, though much smaller than those for the Ce sites. When compared with the experimental results in Fig. 10 of Ref. [31], we see that the same data grouping occurs, and that the decrease of $T_1^{-1}$ as the temperature decreases (below the broad maxima) is also present; the difference in magnitude between data for Ce and La sites is also noticeable. These features, therefore, provide evidence that the $T_1^{-1}$ distribution is quite inhomogeneous throughout the lattice, with Ce sites behaving as in the clean case even for strong dilution. A possible explanation for this inhomogeneity may be a local nature of singlet formation, i.e., singlets have a short correlation length.

In the low-temperature regime, the strong attenuation observed in our DQMC results for the pure case is due to the spin-gapped ground state. For the diluted systems, however, our $T_1^{-1}$ data on Ce sites seem to converge to finite values as $T$ decreases, consistent with gapless behavior due to either enhanced magnetic correlations or metallic (Pauli-like) behavior, depending on the degree of dilution. It is worth noting that the $T_1^{-1}$ for $x = 0.20$ and 0.80 have similar behavior, despite the large difference in the disorder strength. In fact, previous theoretical works [68,69] have suggested that the dense Kondo regime occurs just for $n_c \approx n_f$, while the diluted Kondo regime is established for a wide region of $n_c < n_f$, which is in line with our findings here. The difference between these two dilutions occurs only for La sites at very low temperatures. The data for La sites when $x = 0.20$ show that $T_1^{-1}$ increases with decreasing temperatures for $T/t \lesssim 0.1$, corresponding to an enhancement of magnetic correlations on these sites, a behavior also found for the regularly depleted PAM [49]. We note that the half-filling of our model for dilution may impose a bias toward an AFM ground state, since conduction sites with removed partners are unable to form singlets [63].

V. CONCLUSIONS

In summary, we have presented results for the magnetic susceptibility, Knight shift, and NMR relaxation rate computed using DQMC simulations for the diluted periodic Anderson model. We showed that even in the presence of disorder, the Knight shift anomaly displays a behavior with a phenomenological universal function shared with the clean case. We have also obtained the coherence temperature, $T^*$, and its dependence on $c$-$f$ hybridization, $V$, and with the dilution fraction $x$. We have found that $T^*$ is a linearly decreasing function of $x$, reproducing a crucial feature of the experimental results for La-doped CeCoIn$_5$. Finally, we have also discussed the spin-lattice relaxation rate, which is distributed inhomogeneously throughout the lattice. The qualitative agreement of our results with experimental NMR measurements for Ce$_{1-x}$La$_x$CoIn$_5$ suggests DQMC is a powerful theoretical tool to model accurately the nature of spin correlations in disordered heavy-fermion materials.

Although we have emphasized the use of DQMC within the context of condensed-matter materials, our work also has important implications for “quantum gas microscopes” and their use to explore ultracold trapped atoms [70–72]. Like the NMR measurements described here, quantum gas microscopy allows the resolution of single atoms, doubly occupied sites, and (local) magnetic order. A central focus is on nonequilibrium properties directly connected to the relaxation times studied here.

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An early attempt to investigate the dPAM Hamiltonian was reported in Ref. [73].