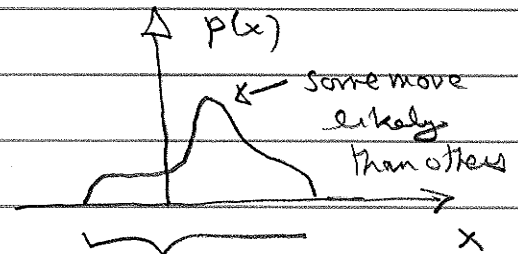


Density of States

Energy band \leftarrow continuous set of energy levels.

But not all energies in band are equally likely.

analogy : probability distribution



For example for free particles in 3D

$$E = \frac{\hbar^2 k^2}{2m}$$

range of allowed x values

$p(x)dx =$ probability measure ($x, x+dx$)

$$N = 2 \frac{V}{(2\pi)^3} \int d^3k = 2 \frac{V}{(2\pi)^3} \int_0^{k_F} 4\pi k^2 dk$$

\uparrow spin
 \uparrow volume per k point

$$= \frac{V}{\pi^2} \frac{k_F^3}{3}$$

$$k_F = (3\pi^2 \rho)^{1/3}$$

$n(k)dk \equiv$ # \vec{k} vectors with $|\vec{k}|$ between k and $k+dk$

$$= \underbrace{\frac{V}{(2\pi)^3}}_{n(k)} 4\pi k^2 dk = n(E) dE$$

DOS-2

$$E = \frac{\hbar^2 k^2}{2m}$$

$$dE = \frac{\hbar^2 k}{m} dk$$

$$n(k) dk = \frac{V}{(2\pi)^3} 4\pi k^2 \frac{m dE}{\hbar^2 k}$$

$$= \frac{V}{2\pi^2} \frac{m}{\hbar^2} \left(\frac{2mE}{\hbar^2} \right)^{1/2} dE$$



$n(E)$

(Sometimes add factor of 2 for spin.)

$$N(E) \sim E^{1/2} \quad \text{for free fermions}$$

↑
proportionality constant involves mass

Why imp? Recall $C \sim \gamma T$ for fermions

because only states within $k_B T$ of Fermi surface

can respond to increase in temperature.

$$C = \frac{3}{2} N k_B \quad \text{classical}$$



$$N \frac{k_B T}{E_F} \quad k_B$$

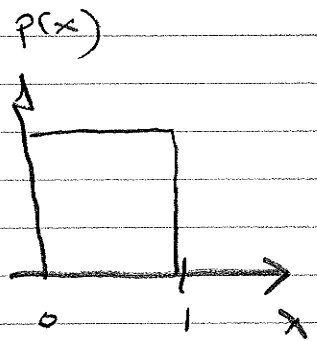
Exact result is $\frac{\pi^2}{2} \frac{k_B T}{E_F} N k_B$

DOS-1'

Mathematical Aside

Suppose X is uniform on $[0, 1]$

$$\text{i.e. } p(x) = 1 \quad 0 < x < 1$$



You use these X values to generate $y = x^2$.

What is $\tilde{p}(y)$? Is it uniform?

$$x = \quad .1 \quad .2 \quad .3 \quad .4 \quad .5 \quad .6 \quad .7 \quad .8 \quad .9 \quad 1.0$$

$$y = \quad .01 \quad .04 \quad .09 \quad .16 \quad .25 \quad .36 \quad .49 \quad .64 \quad .81 \quad 1.0$$



3 values interval $0 < y < .1$

seems like y small more likely

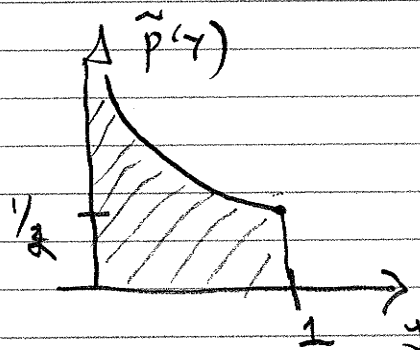
Method to get $\tilde{p}(y)$ is very simple

$$\tilde{p}(y) dy = p(x) dx \quad y = x^2 \quad dy = 2x dx$$

$$\tilde{p}(y) dy = \underset{\downarrow}{1} \underset{\downarrow}{dy/2\sqrt{y}}$$

$$\text{i.e. } \tilde{p}(y) = \frac{1}{2\sqrt{y}}$$

NB $\int_0^1 \tilde{p}(y) dy = 1$ ✓



DOS-11

It's not completely obvious $p(y)dy = p(x)dx$

Here's another argument:

$\tilde{p}(y)dy \equiv$ prob get value between y and $y+dy$

For this to happen x must be between \sqrt{y} and $\sqrt{y+dy}$

$$\begin{aligned}\text{But } \sqrt{y+dy} &= \sqrt{y(1+dy/y)} = \sqrt{y} (1+dy/y)^{1/2} \\ &\approx \sqrt{y} \left(1 + \frac{dy}{2y}\right) = \sqrt{y} + \frac{dy}{2\sqrt{y}}\end{aligned}$$

The likelihood x is in any range x_1, x_2 is $x_2 - x_1$

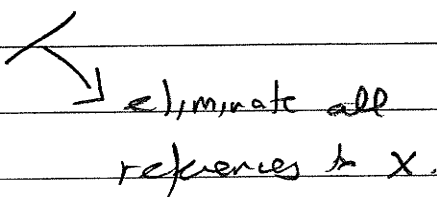
so the probability is just $\frac{dy}{2\sqrt{y}} = \tilde{p}(y)dy$

this yields same answer $\tilde{p}(y) = \frac{1}{2\sqrt{y}}$

Bottom line if you have x with $p(x)$

and $y = f(x)$ and you want $\tilde{p}(y)$

just set $p(x)dx = \tilde{p}(y)dy$

$dy = f'(x)dx$  eliminate all references to x .

States within $k_B T$ of E_F involves $N(E_F)$

$$C \sim N(E_F)$$

* Many responses of solid involve $N(E_F)$ and indeed become larger as $N(E_F)$ increases (more e^-

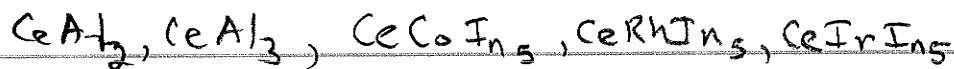
are free to participate) $\chi = dM/dB \sim N(E_F)$
 \uparrow magnetic susceptibility

Superconductivity

$$T_c \sim \omega_{\text{phonon}} e^{-1/VN(E_F)}$$

\uparrow
electron phonon interaction energy

"Heavy" fermions Curro, Zieve:



"115" materials

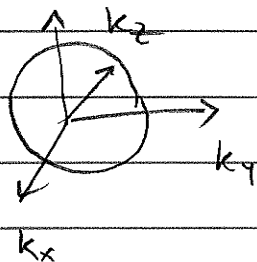
$N(E_F)$ is abnormally large \rightarrow interesting physics

$$N(E) = \frac{V}{2\pi^2} \frac{m}{\hbar^2} \left(\frac{2mE}{\hbar^2} \right)^{1/2}$$

ascribe large $N(E)$ to large effective mass "heavy"

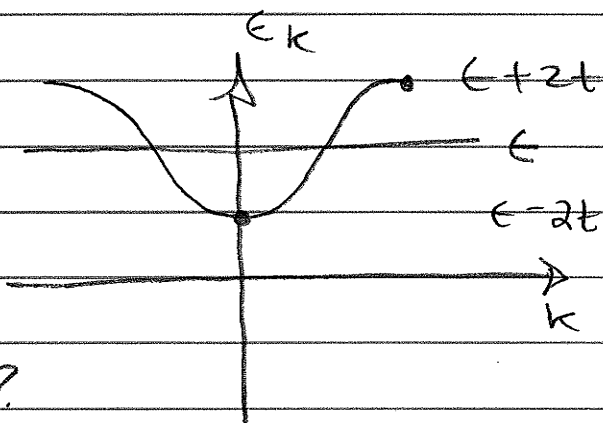
DOS-4

$N(E) \sim E^{1/2}$ \leftarrow more k points as
Fermi sphere gets bigger



Do also our 1-d example.

$\Delta = 0$ first



Where are there more k points?

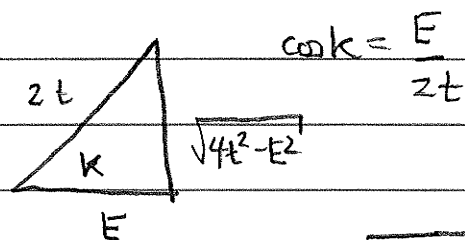
Set $E = 0$ for simplicity

$$N(E)dE = n(k)dk$$

\uparrow flat one k point per $\frac{2\pi}{L}$

$$E = -2t \cos k$$

$$dE = 2t \sin k dk$$



$$N(E)dE = \frac{L}{2\pi} \frac{dE}{2t \sin k}$$

$$\sin k = \frac{\sqrt{4t^2 - E^2}}{2t}$$

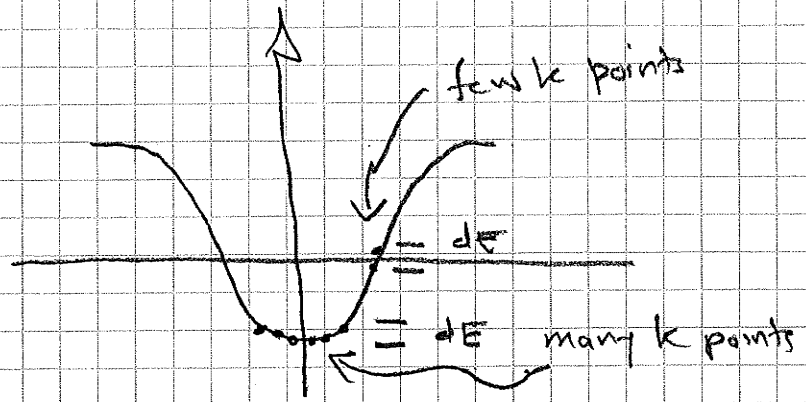
$$= \frac{L}{2\pi} \frac{2t}{\sqrt{4t^2 - E^2}}$$

$$N(E) = \frac{L}{2\pi} \frac{1}{\sqrt{4t^2 - E^2}}$$

peaks at $E = \pm 2t$

DOS-5

Physically:



What if $\Delta \neq 0$

