

# FINAL EXAM

Physics 140A– WINTER 2012

**Instructions:** Do your best.

**Constants/Conversion factors:**

Boltzmann's constant  $k_B = 1.38 \times 10^{-23} \text{ J/}^\circ\text{K} = 1.38 \times 10^{-16} \text{ erg /}^\circ\text{K}$

Planck's constant  $\hbar = 1.055 \times 10^{-27} \text{ erg-sec}$

1 fortnight = 14 days

3.017 grams of  $\text{He}^3$  contain  $N_A$  atoms

Avogadro's number  $N_A = 6.022 \times 10^{23}$

1 furlong = 1/8 mile = 220 yards = 660 feet = 40 rods = 10 chains

[1.] The primitive lattice vectors of a hexagonal lattice are

$$\vec{a}_1 = \frac{\sqrt{3}a}{2} \hat{x} + \frac{a}{2} \hat{y} \quad \vec{a}_2 = -\frac{\sqrt{3}a}{2} \hat{x} + \frac{a}{2} \hat{y} \quad \vec{a}_3 = c \hat{z}$$

- (a) What is the volume of the unit cell  $V_c$ ?
- (b) Compute the reciprocal lattice vectors  $\vec{b}_1, \vec{b}_2, \vec{b}_3$ .
- (c) Describe and sketch the first Brillouin zone.

[2.] Consider a three dimensional gas of  $N$  free electrons at  $T = 0$ .

- (a) What is the Fermi wave vector  $k_F$  and how is it related to the (number) density  $\rho = N/V$ ?
- (b) What is the Fermi Energy? What is its significance?
- (c) Show that the kinetic energy is  $U_0 = \frac{3}{5}NE_F$
- (d) The atom  $\text{He}^3$  has spin  $\frac{1}{2}$  and is a fermion. The (mass) density of liquid  $\text{He}^3$  is  $0.081 \text{ g cm}^{-3}$  near absolute zero. Calculate  $E_F$  and  $T_F$ .

[3.] Fermion creation operators  $c_i^\dagger$  and  $c_j^\dagger$  obey the anticommutation relation  $\{c_i^\dagger, c_j^\dagger\} = 0$ . What physical principles are embodied in this mathematics? Explain.

[4.] In class we modeled a vibrating lattice of atomic nuclei as a one dimensional coupled mass ( $M$ ) - spring ( $K$ ) system. We showed this has normal mode frequencies  $\omega$  which depend on momentum  $q$  as

$$\omega^2(q) = \frac{2K}{M} (1 - \cos q)$$

- (a) Given this equation, explain why we call the lattice vibrations “phonons”.
- (b) Describe qualitatively (sketch) what happens to  $\omega(q)$  if there are two types of masses  $M_1$  and  $M_2$  which alternate. Provide some names to the resulting types of phonons.

[5.] Consider a set of isolated Hydrogen nuclei (protons). The energy levels of an electron are  $E_n = -13.6/n^2$  (in eV). Each level  $n$  is highly degenerate, since the electron can be placed on any of the nuclei. As the nuclei are brought closer together, what happens to these energy levels, and what do we call the resulting collection of energies?

[6.] A classical system has two energy levels  $E_1$  and  $E_2$ .

(a) Sketch the average energy  $\langle E \rangle$  as a function of temperature  $T$ .

(b) Sketch the specific heat  $C = d\langle E \rangle/dT$  as a function of  $T$ . In both cases (a) and (b), label your horizontal and vertical axes with appropriate scales.

(c) What are the low  $T$  and high  $T$  behaviors of  $C(T)$ ? What is it about the nature of the energy levels that makes  $C(T)$  behave that way?

[7.] The specific heat of a classical gas of  $N$  particles is  $\frac{3}{2}k_B$  (at all temperatures). A gas of electrons has a much smaller specific heat. In fact, it *vanishes* linearly as temperature  $T \rightarrow 0$ . Provide a qualitative picture for why this happens. What is the essential physical principle which prevents a cloud of fermions from changing its energy as much as a cloud of classical particles when  $T$  increases?

[8.] Consider a lattice with two sites and a hopping Hamiltonian.

$$\hat{H} = -t(c_1^\dagger c_2 + c_2^\dagger c_1) + E(c_1^\dagger c_1 + c_2^\dagger c_2)$$

(a) How many states are there with one electron? List them. (Employ the usual occupation number basis from class and HW # 6.)

(b) Compute the action of  $\hat{H}$  on each of the vectors. What is the matrix for  $\hat{H}$ ?

(c) Diagonalize  $\hat{H}$ . What are the eigenenergies?

(d) What happens to these eigenenergies at  $t = 0$ ? Does this problem have any connection to problem #5 of this final exam?

[9.] An x-ray scatters elastically off a crystal. What can you say about possible values of its change in momentum? Using your answer, prove that a Bragg peak can arise only if the incoming wave vector  $\vec{k}$  lies on a plane bisecting one of the reciprocal lattice vectors  $\vec{G}$ . Since these planes are only a two dimensional subset of a three dimensional space, the chance of  $\vec{k}$  satisfying this condition is incredibly small. How do experimentalists solve this problem?

[10.] The “power method” is a numerical technique to find the eigenvector of a matrix which has the largest eigenvalue. What do you do to implement it? Why does it work?

[11.] The operator  $\hat{h} = c_l^\dagger c_{l+1} + c_{l+1}^\dagger c_l$  hops an electron between two sites  $l$  and  $l + 1$ .

(a) Show that  $[\hat{h}, n_l] \neq 0$ . Here  $n_l = c_l^\dagger c_l$  is the number operator on site  $l$ .

(b) Show that  $[\hat{h}, n_l + n_{l+1}] = 0$ .

(c) Explain physically whether you would expect the operator  $\hat{\Delta} = c_l^\dagger c_{l+1}^\dagger$  which creates *two* fermions (one on site  $l$  and one on site  $l + 1$ ) to commute with  $n_l + n_{l+1}$ . (You can work out the commutator mathematically, but a correct intuitive reason will suffice.)